

Application Document Checklist

Please provide the requested documents listed below in order to facilitate the application of MaiCoin / MAX Exchange

Corporate Account under the operation, management and maintenance of Modernity Financial Technologies, Ltd. All the documents collected are only used in accordance with the Personal Data Protection Act, Terms of Use or Privacy Policy, or to the extent of your authorization.

I. Application Forms

- ☐ Account Application Form
- ☐ Declaration of Beneficial Ownership
- ☐ Power of Attorney of Trading Authorization
- ☐ Customer Risk Assessment

II. Please provide the following document copies in either color or black and white:

- ☐ Certificate of Incorporation (issued within six months)
- ☐ Certificate of Good Standing (issued within six months)
- ☐ Certificate of Incumbency (issued within six months)
- ☐ Foundation(nonprofit corporation) in Taiwan can only register on MAX exchange, please provide:
 - Certificate of Business Registration
 - Proposal of digital asset accepting donation program
- ☐ Memorandum and Articles of Association (M&AA)
- ☐ The cover of the bankbook of the bank account linked to MaiCoin / MAX Exchange Corporate Account
- ☐ A valid identity documents of company/organization representative(s), beneficial owner(s) and authorized trader(s):
 - Taiwan Citizen: Taiwan Identity Card (Front and back side)
 - Foreign National: Alien Resident Certificate (ARC) (Front and back side) or passport

III. Proof of asset

- ☐ Please provide one of the following documents:
 - The bankbooks (including cover and transaction details) of the legal entity, other organization or unincorporated organization and of the representative of the legal entity, other organization or unincorporated organization for the past year;
 - If the legal entity has been established for less than one year, please provide the main passbook (including cover and transaction details) of the company representative for the past year
 - Financial statements for the past year (signed by representative and manager of the legal entity and other organization and attested by the accountant);
 - Tax report for the past year;
 - Declaration of sales and business Tax by a business entity 401/403/405/406 (applicable to domestic legal entities and other organizations with the official stamp of National Taxation Bureau);
 - Proof of digital assets
 - If it is the wallet provided by an exchange, please provide transaction records for the past 6 months, with the screenshot of the wallet address and account information at the same time.
 - If it is a private wallet, please provide certification documents issued by the accounting firms or law firms to confirm that the wallet address is owned by the company. (Self-application)

IV. Others

- ☐ If the company business nature is a digital asset trading platform or OTC trading, please provide the following document:
 - Company AML/CFT Policy or Procedure or Internal Control

Account Application Form

Application Date: 2021 (YYYY) 4 (MM) 9 (DD)

Basic Information	Legal Entity/Organization Name	Happy Trading Co., Ltd.		
	Tax ID Number	5566524	Phone Number	02-1234-5678
	Registered address	16/F, ABC Budling, 12 Road, Central, Hong Kong		
	Business Address			
	<input checked="" type="checkbox"/> same as registered address			
	Date of Incorporation	<u>2021</u> (YYYY) <u>2</u> (MM) <u>28</u> (DD)		
	Registered Capital Amount	USD 100,000	Paid-in Capital Amount	USD 100,000
	Nature of Business	<input type="checkbox"/> Agroforestry <input type="checkbox"/> Manufacturing <input type="checkbox"/> Building and Construction <input checked="" type="checkbox"/> IT <input type="checkbox"/> Logistic and Transportation <input type="checkbox"/> Retail <input type="checkbox"/> Financial <input type="checkbox"/> Real Estate <input type="checkbox"/> Service <input type="checkbox"/> Others: _		
	Purpose of the Account	Investment		
	Account Opening Email	happytrading123@gmail.com		
	Account Opening Contact Person/Contact Number	Chan Tai Man, Peter/+85265789876		
Company Representative(s)	Name (As printed on passport)	Chan Ka Chun		
	Date of Birth	<u>1970</u> (YYYY) <u>1</u> (MM) <u>3</u> (DD)		
	* ID Number	K56098909	Contact Number	+85265436789
	Correspondence Address	16/F, ABC Budling, 12 Road, Central, Hong Kong		
	Email	chun@happytrading.com		
Authorized Trader <input type="checkbox"/> same as company representative	Name (As printed on passport)	Chan Tai Man		
	Date of Birth	<u>1987</u> (YYYY) <u>10</u> (MM) <u>8</u> (DD)		
	* ID Number	K98976782	Contact Number	+85265789876
	Email	man@happytrading.com		
Bank Information	Type of the account	<input type="checkbox"/> NTD currency account (Domestic Company and Organization only, can skip the following bank information) <input checked="" type="checkbox"/> USD currency account (Foreign Company and Organization only)		
	Name of the Bank	HSBC(HK)		
	Branch Name	004		
	Account Number	9999999999		
	Account Name	Happy Trading Co., Ltd.		
	Address that provided to the bank	16/F, ABC Budling, 12 Road, Central, Hong Kong		
	Address of the Bank	Main Building 1 Queens Road Central, Hong Kong		
	SWIFT/BIC Code	HSBCHKHHSBL		
Signature	(Signature of the legal entity and organization and its representative)			

*For non-Taiwan citizen, please fill in ARC or passport number.

Declaration of Beneficial Ownership

The Undersigned _____ (the "Applicant") hereby agree and confirm to the Modernity Financial Technologies, Ltd ("MaiCoin") the validation of following statements:

Part A. The beneficial owner of the Applicant is one of the following:

- ☐ 1. a R.O.C government entity
- ☐ 2. an enterprise owned by the R.O.C government
- ☐ 3. a foreign government entity
- ☐ 4. a public company or its subsidiaries
- ☐ 5. an entity listed on a stock exchange outside of the R.O.C. that is subject to regulatory disclosure requirements of its principal shareholders, and the subsidiaries of such entity
- ☐ 6. a CPA supervised by the R.O.C. government, and an investment vehicles managed by such institution
- ☐ 7. a CPA incorporated or established outside the R.O.C. that is subject to and supervised for compliance with AML/CFT requirements consistent with the standards set by the Financial Action Task Force on Money Laundering (FATF), and an investment vehicle managed by such institution
- ☐ 8. a fund administered by a R.O.C. government entity
- ☐ 9. an employee stock ownership trust or an employee savings trust
- ☒ 10. Others (Please fill in Part B)

Part B. The Applicant is a legal entity that is not ejusdem generis with any of the aforementioned item 1-9 in Part A. Please check the following items and provide required documental proofs accordingly.

Type	Status	Check	Required Documental Proofs	
1	There is one or more natural person directly or indirectly holding the shares or capital money more than 25% ("Beneficial Owner")	<input type="checkbox"/> Yes (Skip Type 2 & 3) <input type="checkbox"/> No (Check Type 2)	<ul style="list-style-type: none"> The copy of identity document of the natural person directly or indirectly holding the shares or capital money more than 25% ("Beneficial Owner") 	
2	There is no natural person directly or indirectly holding the shares or capital money more than 25%, but there is/are someone who ultimately controls the Applicant ("Beneficial Owner")	<input type="checkbox"/> Yes (Skip Type 3) <input type="checkbox"/> No (Check Type 3)	<ul style="list-style-type: none"> The copy of identity document of the natural person who ultimately controls the Applicant ("Beneficial Owner") 	
3	The Applicant is not ejusdem generis with any of Type 1 or 2	<input checked="" type="checkbox"/> Yes	<ul style="list-style-type: none"> The copy of identity document of the high-level manager(s) (i.e. Board Members, Supervisor, General Manager or equivalent positions) 	
Comply with Type 1, 2 or 3				
Category	Name	Taiwan ID, ARC or Passport Numbers	Date of Birth	Nationality
The Beneficial Owner(s) or high-level manager(s)	Chan Ka Chun	K56098909	1970/1/3	Hong Kong

Part C. Circumstances of bearer shares

1. Declaration: the bearer shares of the Applicant (Single Choice):

- ☐ Issued ☐ Issuable but not issued ☒ Not issuable

(The Applicant issued bear shares is required to fill in Part B even if it's one of the item 1-9 in the Part A)

2. The Applicant shall notify MaiCoin within 30 days after a) any alterations of Articles of Incorporation which will allow the Applicant to issue bearer shares, or b) the Applicant intend to issue bearer shares. The Applicant agrees to update the related documents if required by MaiCoin.

Name of the Applicant (legal entity/organization): Happy Trading Co., Ltd

Representative(s) of the legal entity/organization: Chan Ka Chun

Date: 2021 (YYYY) 4 (MM) 9 (DD)

(Signature of representative of the legal entity or organization)

Power of Attorney of Trading Authorization

The undersigned Happy Trading Co., Ltd ("the Legal Entity or Organization") hereby appoint and authorize Chan Tai Man (the "Authorized Trader") to act as the Authorized Trader and conduct trading on behalf of the Legal Entity or Organization on MaiCoin/MAX Exchange – cryptocurrency trading platforms operating by Modernity Financial Technologies, Ltd. The Legal Entity or Organization shall be solely responsible for any trading outcomes processed by the Authorized Trader hereunder, including profits or losses, and/or any related legal responsibilities.

The Legal Entity or Organization understands the market risks and potential losses and hereby agrees to be solely responsible for any risks and/or losses caused by the aforementioned and be liable for any loss that caused by any fraud or dishonesty of the declaration of authorization.

*A maximum of two authorized traders, the Power of Attorney of Trading Authorization should be filed separately

Name of Legal Entity/Organization: Happy Trading Co., Ltd
Representative(s): Chan Ka Chun
Tax ID Number: 5566524
Address : 16/F, ABC Budling, 12 Road, Central, Hong Kong
Date: 2021 (YYYY) 4 (MM) 9 (DD)

(Signature of representative of the
legal entity or organization)

(Signature of the Authorized Trader)

Authorized Trader: Chan Tai Man
Skype Account and Name: mantrading11
Skype Account and Name (backup): mantrading12
ID Number: K98976782
Date: 2021 (YYYY) 4 (MM) 9 (DD)

Customer Risk Assessment

****Notice :** For individual customer, please fill your personal details in the form ; For corporate customer, please fill the entity details in this assessment form.

Applicant/Legal Entity/Organization Name: Happy Trading Co., Ltd

Name and job title of the agent (For legal entity or organization only): Chan Ka Chun, Sales Manager

Please circle the following answer:

Source of Assets

1. What is the source of your digital assets? (check all that apply)
 - A. Mining
 - B. Inheritance/Gift
 - ☒ C. Investment
 - D. Purchase
 - E. Other (please specify) _____
 - F. None
2. What is the source of your fiat assets? (check all that apply)
 - A. Salary
 - ☒ B. Revenue
 - C. Investment
 - D. Rent
 - E. Funds under management
 - F. Inheritance/Gift
 - F. Savings (please specify) _____
 - G. Other (please specify) _____

Range of Assets

1. How much is your total assets (including digital assets) approximately?
 - A. Less than USD 170,000
 - B. USD 170,000~300,000
 - ☒ C. USD 300,000~1.7million
 - D. USD 1.7million~3million
 - E. USD 3million~30million
 - F. Over USD 30million

Use of Assets

1. What is the purpose of your transactions on the platforms? (check all that apply)
 - ☒ A. Medium to long term investment
 - B. Short term investment
 - C. Receipts and payments
 - D. Other (please specify) _____

Risk Appetite

1. What is your expected monthly investment amount?
 - A. Less than USD 100,000
 - ☒ B. USD 100,000~170,000
 - C. USD 170,000~300,000
 - D. USD 300,000~1million
 - E. USD 1million~1.65million
2. Please identify the degree of loss you are willing and able to assume in your Digital Asset investment??
 - A. -1% — -2%
 - B. -3% — -5%
 - C. -6% — -10%
 - ☒ D. -11% — -20%
 - E. -21% — -50%
 - F. -50% — -100

3. What's the maximum loss of principal will you willing to take?

- A. 0%
- B. -5%
- C. -10%
- ☒ D. -15%
- E. -20% above

4. How often do you invest the digital asset?

- A. Irregularly
- ☒ B. Daily
- C. Weekly
- D. Monthly
- E. Quarterly
- F. More than 6 months

Sincerely,
Modernity Financial Technologies, Ltd.

The agent is a representative with valid authority and hereby declare that the above-mentioned information is true and correct. The platform reserves the right to request additional documents if we doubt about the information that you provided.